

518 6 AUG 2001 US

| | | | |
|--|--|---|--|
| FORM PTO-1390 (REV 10-94) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTORNEY'S DOCKET NUMBER |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | 01-467 |
| INTERNATIONAL APPLICATION NO. PCT/JP99/06885 | | | U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.57) 09/890904 |
| INTERNATIONAL FILING DATE December 8, 1999 | | PRIORITY DATE CLAIMED | |
| TITLE OF INVENTION METHOD AND APPARATUS FOR RENEWING SOFTWARE IN A SOFTWARE-OPERATED MACHINE | | | |
| APPLICANT(S) FOR DO/EO/US Masanobu Fujita et al. | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | |
| <p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11. to 16. below concern document(s) or information included:</p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input type="checkbox"/> Other items or information:</p> | | | |

page 1 of 2

Express Mail No.:
EL394335940US

I hereby certify that this correspondence is being
deposited with the United States Postal Service as
Express Mail in an envelope addressed to: Commissioner
of Patents and Trademarks, Washington, D.C. 20231

(January 1995)

on August 6, 2001
(Date of Deposit)
Rachel Piscitelli
Rachel Piscitelli
August 6, 2001
By and Signature

US

Annex US.II, page 2 PCT Applicant's Guide - Volume II - National Chapter - US

| | | | | | |
|---|--------------|--|--------|---|--------|
| U.S. APPLICATION NUMBER: 097890904 | | INTERNATIONAL APPLICATION NO.: PCT/JP99/06885 | | ATTORNEY'S DOCKET NUMBER: 01-467 | |
| 17. <input checked="" type="checkbox"/> The following fees are submitted: | | | | CALCULATIONS PTO USE ONLY | |
| BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): | | | | | |
| Search Report has been prepared by the EPO or JPO.....\$840.00 | | | | | |
| International preliminary examination fee paid to USPTO (37 CFR 1.482).....\$660.00 | | | | | |
| No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))..\$730.00 | | | | | |
| Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$980.00 | | | | | |
| International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....\$92.00 | | | | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | \$ | 860.00 |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ | 130.00 |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total claims | 18 | -20 = | X \$18 | \$ | |
| Independent claims | 2 | -3 = | X \$78 | \$ | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$ | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ | 990.00 |
| Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28). | | | | \$ | |
| SUBTOTAL = | | | | \$ | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | + | \$ |
| TOTAL NATIONAL FEE = | | | | \$ | 990.00 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | \$ | 990.00 |
| | | | | Amount to be: | |
| | | | | refunded \$ | |
| | | | | charged \$ | |
| a. <input checked="" type="checkbox"/> A check in the amount of \$ 990.00 to cover the above fees is enclosed. | | | | | |
| b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | |
| c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-0184. A duplicate copy of this sheet is enclosed. | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive 37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | SIGNATURE: <u>Gregory P. LaPointe</u> | |
| DAVID P. LONIE DAVID P. LONIE, P.C. 920 CHAPEL ST., SUITE 120 NEW HAVEN, CT 06520-0200 | | | | NAME: <u>Gregory P. LaPointe</u> | |
| | | | | REGISTRATION NUMBER: <u>28,395</u> | |

Form PTO-1390 (REV 10-94) page 1 of 1

Express Mail No.:
EL394335940USI hereby certify that this correspondence is being
deposited with the United States Postal Service as
Express Mail in an envelope addressed to: Commissioner
of Patents and Trademarks, Washington, D.C. 20231on August 6, 2001
(date of Deposit)
Rachel Piscitelli
Name and Reg. No. of Attorney
Rachel Piscitelli
Signature
August 6, 2001
Date of Signature